

03500.015729.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of:

SHIN KITAMURA ET AL.

Application No.: 09/940,643

Filed: August 29, 2001

For: ELECTRON EMITTING DEVICE,
ELECTRON SOURCE, IMAGE-
FORMING APPARATUS, AND
METHOD FOR PRODUCING
ELECTRON-EMITTING DEVICE
AND ELECTRON-EMITTING
APPARATUS

Examiner: Adolfo Nino

Group Art Unit: 2831

August 6, 2004

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the document listed on the enclosed Form PTO-1449. A copy of the first listed document and an English abstract of the remaining listed documents are enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

August 6, 2004

(Date of Deposit)

FRANK A. DeLUCIA (REG. NO. 42,476)

(Name of Attorney for Applicant)

A handwritten signature in black ink, appearing to read "Frank A. DeLucia".

Signature

August 6, 2004

Date of Signature

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Each item of information in this information disclosure statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Statement.

The Patent and Trademark Office is hereby authorized to charge deposit account 06-1205 for the amount of \$180.00 for payment of the fee required under 37 C.F.R. § 1.97(d)(2), or any other fee which may be required in consideration herewith.

Japanese Patent Publication No. 2003-536215 was cited in a Japanese Office Action (copy enclosed with partial English translation thereof), which issued from a foreign Patent Office in a counterpart foreign application. The Examiner is respectfully referred to the partial English translation for the concise statement of relevance for the non-English document.

Japanese Patent Publication No. 09-270227 also was cited in the Japanese Office Action. The Examiner is respectfully referred to the enclosed English abstract for the concise statement of relevance for the Japanese Patent Publication.

Japanese Patent Publication No. 2000-223012 also was cited in the Japanese Office Action. The Examiner is respectfully referred to the enclosed English abstract for the concise statement of relevance for the Japanese Publication.

Japanese Patent Publication No. 2000-191302 was also cited in the Japanese Office Action. The Examiner is respectfully referred to the enclosed English abstract for the concise statement of relevance for the Japanese Publication.

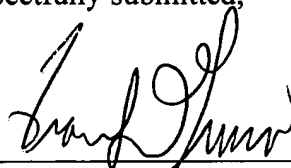
Documents 2000-208028 and 11-194134, cited in the Japanese Office Action, already are of record and thus are not cited herein.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



Attorney for Applicants
Frank A. DeLucia
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FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			ATTY DOCKET NO. 03500.015729.		APPLICATION NO. 09/940,643		
Date Submitted to PTO: June 22, 2004			APPLICANT SHIN KITAMURA ET AL.				
FILING DATE AUGUST 29, 2001			GROUP 2831				
U.S. PATENT/PUBLICATION DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
		09-270227	10/14/97	Japan	HO1J	1/30	Abstract
		2000-223012	8/11/00	Japan	HO1J	9/02	Abstract
		2000-191302	7/11/00	Japan	CO1B	3/00	Abstract
		2003-536215	12/2/03	Japan	HO1J	9/02	No
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Alexandria, VA 22313-1450

SUBMISSION OF FOREIGN COMMUNICATION

Sir:

As a convenience to the public, submitted herewith is a copy of a Korean communication issued by the Korean Patent Office in a foreign counterpart of the above-identified application, and a copy of a Korean reference 1999-0073591 cited therein. A Japanese version of the Korean communication and a Japanese version of the reference

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

August 6, 2004

(Date of Deposit)

FRANK A. DeLUCIA (REG. NO. 42,476)

(Name of Attorney for Applicant)

Frank A. DeLucia
Signature

August 6, 2004

Date of Signature

also are enclosed, as is an English translation of an extract portion of the Korean communication.

The Korean communication also cites U.S. Patent 4,728,851, which is of record in the present application.

Applicants have determined that Korean information referred to herein is merely cumulative to art already of record in the present application.

Entry hereof into the Patent and Trademark Office filewrapper, as a convenience to the public, is respectfully requested.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Frank DeLucia", is written over a horizontal line.

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